## Liquid Capital Statement

for the month of 31-JAN-17

## of M/s. Khawaja Securities (Pvt) Ltd

Submission Date 15-FEB-2017 16:08:52

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Assets   Section   Asset   A					
1.1 Assets 1.1 Proporty & Equipment 1.2 Intergation Aural 2.1 Intergation Aural 3.1 Intergation Aural 4.1 Intergration Aural 5.1 Intergration Aural 6.2 Intergration Aural 6.3 Intergration Aural 6.3 Intergration Aural 6.4 Intergration Aural 6.5 Intergration Aural 6.6 Intergra	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
Property & Equipment   Supplies Active   Committee			Pak Rupee	Adjustments	Value
Property & Equipment   Supplies Active   Committee					
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1.13   Investment in Debt. Securities (19.000 %)					
Investment in Debt. Securities			6,700,000	6,700,000	
Filed than   1.5% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value in the case of tenue (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sheet value (pro 1-2 year)   1.7% of the balance sh					
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Li98 of the balance sheet value in the case of feature upto 1 years		iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
11.23% of the balance sheet value, in the case of tenue from 1-3 years					
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Investment in Equity Securities					
Lif listed 15% or Vale of each securities on the cutoff date as computed by the Securities Exchange for opective securities withoever is higher.  1. If unitated, 10% of carming value. 9,553,797 9,	1.5	,			
respective securities whicheves in higher.  ii if united, 100% of canking value,  ii the stated, 100% of canking value,  ii the stated of the state		i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	16,605,741	2,490,861	14,114,880
1.1   Investment in associated companies/undertaking		respective securities whichever is higher.			
Investment in associated companies/undertaking   If listed 27% or VAR of each securities are computed by the Securites Exchange for respective securities whichever is higher.	1./		9,553,797	9,553,797	
Lif isted 27% or VaR of each securities as computed by the Securites Exchange for respective securities whichever is higher.  It if unisted, 100% of net value.  Is Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.  On any other entity.  Other deposits and inexpenses and clearing house.  Other deposits and prepayments.					
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1.0   Depot with authorized intermediary against browned securities under SLB.   3,471,881   3,471,881   3,471,881   1.11   Other deposits and prepayments   3,471,881   3,471,881   3,471,881   1.12   Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc. (Nil)   1.00   1	1.8		430,000	430,000	
1.110   Deposit with authorized intermediary against borrowed securities under SLB.   3,471,881   3,471,881   1.12   Accrued interest; profit or maik-up on amounts placed with financial institutions or debt securities etc. (kili)   100% in respect of markup accrued on ioans to directors, subsidiaries and other related parties   1.13   Dividends receivable, against Repo financing, Amounts receivable of the three REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments).   1.15   Receivables from clearling house or securities exchange(s)   1.108   Receivables from clearling house or securities exchange(s)   1.108   Receivables from clearling house or securities exchange(s)   1.108   Receivables from customers   1.108   Receivables   1.108   Receivables	1 9				
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i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked account after applying VAR based Halicut. (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VAR based halicut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  ii. Net amount after deducting halicut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting halicut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based halicuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based halicuts.  vi. 100% halicut in the case of amount receivable form related parties.  3,920,468  3,920,468  1.18 Cash and Bank balances  i. Bank balance-proprietory accounts  ii. Cash and Bank balances  ii. Bank balance-proprietory accounts  iii. Cash in hand  67,731  0 67,731  1.19 Total Assets  2.1 Trade Payables  ii. Payable to exchanges and clearing house  iii. Payable to exchanges and clearing house  iii. Payable to customers  2.2 Current Liabilities  iii. Statutory and regulatory dues	4.47				
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market value of any securities deposited as collateral after applying VaR based halicut.  i. Lower of net balance sheet value or value determined through adjustments.  ii. Incase receivables are against margin trading, 5% of the net balance sheet value.  iii. Net amount after deducting halicut  iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract,  iii. Net amount after deducting halicut  iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based halicuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based halicuts.  vi. 100% halicut in the case of amount receivable form related parties.  1.18  Cash and Bank balances  i. Bank Balance-proprietory accounts  635,750  635,750  635,750  0 635,750  ii. Bank balance-customer accounts  15,784,793  0 15,784,793  0 15,784,793  0 15,784,793  10 67,731  1.19  Total Assets  2 Liabilities  i. Payable to exchanges and clearing house  ii. Payable to exchanges and clearing house  ii. Payable to customers  2 Current Liabilities  ii. Statutory and regulatory dues					
i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value. iii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iiii. Net amount after deducting haircut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.  v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, (ii) cash deposited as collateral after applying VAR based haircuts in the case of amount receivable form related parties.  2. Cash and Bank balances  i. Bank Balance-proprietory accounts  5. Cash and Bank balances  ii. Bank balance-customer accounts  5. Cash and Bank balances  5. Formula Assets  7. Trade Payables  1. Indicate the payables  1. Payable to exchanges and clearing house  ii. Payable to exchanges and clearing house  ii. Payable to customers  2. Current Liabilities  ii. Statutory and regulatory dues					
ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances  1.18 Bank Balance-proprietory accounts ii. Bank balance-proprietory accounts iii. Cash in hand 635,750 0 635,750 0 635,750 iii. Bank balance-customer accounts 15,784,793 0 15,784,793 1.19 Total Assets 95,478,853 35,495,997 59,982,856 2 Liabilities 2.1 Trade Payables ii. Payable to exchanges and clearing house ii. Payable to customers iii. Payable to customers 2.2 Current Liabilities 1.5 Statutory and regulatory dues		i. Lower of net balance sheet value or value determined through adjustments.			
iii. Incase receivables are against securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 29,379,702 0 29,379,702 iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts, iv. 100% haircut in the case of amount receivable form related parties. 3,920,468 3,920,468  1.18 Cash and Bank balances  i. Bank Balance-proprietory accounts 6 35,750 0 635,750 0 635,750 ii. Bank balance-customer accounts 9 15,784,793 0 15,784,793 iii. Cash in hand 67,731 0 67,731 0 67,731 0 67,731 1.19 Total Assets 95,478,853 35,495,997 59,982,856 2 Liabilities  2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable to exchanges and clearing house iii. Payable to customers 22 22 Current Liabilities 1 5,584,000 current control of the current of the current control of the current control of the current current current control of the current c					
collateral upon entering into contract, iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts iii. Bank balance-customer accounts iii. Cash in hand 67,731 0 67,731 1.19 Total Assets 95,478,853 35,495,997 59,982,856 2 Liabilities 1. Payable to exchanges and clearing house ii. Payable to exchanges and clearing house iii. Payable to customers 2. Current Liabilities 1. Statutory and regulatory dues					
iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts iii. Cash in hand for,731 0 635,750 0 635,750 0 635,750 0 635,750 0 635,750 0 635,750 0 635,750 0 635,750 0 67,731 0 67,731 0 67,731 0 67,731 1.19 Total Assets 95,478,853 35,495,997 59,982,856  2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable to exchanges and clearing house iii. Payable to customers 2.2 Current Liabilities iii. Payable to customers 1. Statutory and regulatory dues					
Iv. Balance sheet value   v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.   vi. 100% haircut in the case of amount receivable form related parties.   3,920,468   3,920,468    1.18		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18  Cash and Bank balances i. Bank Balance-proprietory accounts iii. Cash in hand iii. Cash in hand for,731  1.19  Total Assets  2.1  Irade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  2.2  Current Liabilities  2.3  Current Liabilities  i. Statutory and regulatory dues			29,379,702	0	29,379,702
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18					
haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VaR based haircuts.  vi. 100% haircut in the case of amount receivable form related parties.  1.18 Cash and Bank balances i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts iii. Cash in hand iii. Payable to exchanges and clearing house iii. Payable to exchanges and clearing house iii. Payable to customers  2.2 Current Liabilities iii. Statutory and regulatory dues					
vi. 100% haircut in the case of amount receivable form related parties.       3,920,468       3,920,468         1.18       Cash and Bank balances       635,750       0       635,750         ii. Bank balance-proprietory accounts       15,784,793       0       15,784,793         iii. Cash in hand       67,731       0       67,731         1.19       Total Assets       95,478,853       35,495,997       59,982,856         2       Liabilities       2         2.1       Irade Payables       1. Payable to exchanges and clearing house       1. Payable to exchanges and clearing house         ii. Payable against leveraged market products       26,443,521       0       26,443,521         2.2       Current Liabilities       1. Statutory and regulatory dues       1. Statutory and regulatory dues					
1.18       Cash and Bank balances         i. Bank Balance-proprietory accounts       635,750       0       635,750         ii. Bank balance-customer accounts       15,784,793       0       15,784,793         iii. Cash in hand       67,731       0       67,731         1.19       Total Assets       95,478,853       35,495,997       59,982,856         2       Liabilities         2.1       Irade Payables       I. Payable to exchanges and clearing house       II. Payable against leveraged market products       III. Payable to customers       26,443,521       0       26,443,521         2.2       Current Liabilities       I. Statutory and regulatory dues       III. Statutory and regulatory dues			0.000.400	0.000.400	
I. Bank Balance-proprietory accounts	1 10		3,920,468	3,920,468	
ii. Bank balance-customer accounts   15,784,793   0   15,784,793   iii. Cash in hand   67,731   0   67,731   1.19   Total Assets   95,478,853   35,495,997   59,982,856   2   Liabilities   2.1   Trade Payables	1.10		635 750	0	635 750
iii. Cash in hand       67,731       0       67,731         1.19 Total Assets       95,478,853       35,495,997       59,982,856         2 Liabilities					
2 Liabilities 2.1 Trade Payables I. Payable to exchanges and clearing house II. Payable against leveraged market products III. Payable to customers 26,443,521 0 26,443,521 2.2 Current Liabilities I. Statutory and regulatory dues		iii. Cash in hand	67,731	0	67,731
2.1 Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  2.2 Current Liabilities i. Statutory and regulatory dues			95,478,853	35,495,997	59,982,856
i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers  26,443,521  Current Liabilities i. Statutory and regulatory dues					
ii. Payable against leveraged market products iii. Payable to customers  26,443,521  0 26,443,521  Current Liabilities i. Statutory and regulatory dues	2.1	<del>_</del>			
iii. Payable to customers 26,443,521 0 26,443,521  2.2 Current Liabilities i. Statutory and regulatory dues					
2.2 Current Liabilities i. Statutory and regulatory dues			26 443 521	0	26 <i>44</i> 3 521
i. Statutory and regulatory dues	2.2		20,440,021	0	20,440,021
			420,318	0	420,318

## Liquid Capital Statement

for the month of 31-JAN-17

## of M/s. Khawaja Securities (Pvt) Ltd

Submission Date 15-FEB-2017 16:08:52

Page 2 Of 2

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	iii. Short-term borrowings			
	iv. Current portion of subordinated loans			
	v. Current portion of long term liabilities			
	vi. Deferred Liabilities vii. Provision for bad debts			
	viii. Provision for taxation	14,040	0	14,040
	ix. Other liabilities as per accounting principles and included in the financial statements	,		,
2.3	Non-Current Liabilities			
	i. Long-Term financing ii. Staff retirement benefits			
	iii. Other liabilities as per accounting principles and included in the financial statements			
2.4	Subordinated Loans			
	i.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5	Total Liabilites	26,877,879	0	26,877,879
3	Ranking Liabilities Relating to:			
3.1	Concentration in Margin Financing			
	The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.			
3.2	Concentration in securites lending and borrowing			
	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed			
3.3	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price;			
	the aggregate of:			
	(i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities.			
	the value by which the underwhing commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case : 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary			
2.5	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
3.5	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency			
	Amount Payable under REPO			
3.7	Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financee/seller the market value of underlying securities after applying haircut less the			
	total amount received , less value of any securites deposited as collateral by the purchaser after			
3.8	applying haircut less any cash deposited by the purchaser.  Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security.			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount			
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the			
	extent not already met			
3.10	Short sellI positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VAR based haircust less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
	after applying haircuts.			
	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	68,600,974	35,495,997	33,104,977